

# CARBON SPECIALTIES LIMITED

DOSI BHAWAN, PALTAN BAZAR, GUWAHATI, ASSAM-781001

Email: carbonspecialties85@gmail.com,

Website: carbon.net.in, Contact No. 9839360000

Corporate Identification Number-L65929AS1985PLC002427

21/10/2018

To,  
Listing Department  
Calcutta Stock Exchange  
7, Lyons Range, Kolkata

**SUBJECT: QUATERLY HOLDING OF SECURITIES AND SHAREHOLDING  
PATTERN -- REGULATION 31(1)(b) AND INFORMATION PURSUANT TO  
REGULATION 13 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS  
FOR QUARTER ENDED ON 30<sup>th</sup> SEPTEMBER 2018.**

Dear Sir/Madam,

This is in compliance of SEBI (Listing Obligation and Disclosure Requirement) Regulations 2015 and SEBI.

Please find enclosed herewith holding of securities and shareholding pattern – Regulation 31(1)(b) and information pursuant to Regulation 13 of SEBI (Prohibition of Insider Trading) Regulations for Quarter ended on 30<sup>th</sup> September, 2018.

You are requested to take this in your records.

Thanks & Regards  
For Carbon Specialties Limited



PRABHA KAYA  
(Director)

DIN-00326278

Encl: As stated above

**CARBON SPECIALITIES LIMITED****DOSI BHAWAN, PALTAN BAZAR, GUWAHATI, ASSAM-781001****Email: carbonspecialities85@gmail.com,****Website: carbon.net.in, Contact No. 9839360000****Corporate Identification Number-L65929AS1985PLC002427****1. Name of Listed Entity: CARBON SPECIALITIES LIMITED****2. Scrip Code/Name of Scrip/Class of Security : Equity Shares, Scrip Code : 013340****3. Share Holding Pattern Filed under: Reg. 31(1)(b) for the quarter ending on 30.09.2018****4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-**

S.No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked In shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. Of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	2	4706780	0	0	4706780	84.7	4706780	0	4706780	84.7	0	0	0	0		4706780	
(B)	Public	23	850520	0	0	850520	15.3	850520	0	850520	15.3	0	0	0	0	NA	850520	
(C)	Non Promoter-Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	25	5557300	0	0	5557300	100	5557300	0	5557300	100	0	0	0			5557300	

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Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

Category Name of the Shareholders (I)	& PAN (II)	No. of shareholders (III)	No. of fully paid equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateriali zed form (XIV)	
							No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
							Class X	Class Y	Total								
(1) Indian																	
(a) Individuals/Hindu undivided Family		2		0	0			0		0	0	0	0	0	0		
			4706780			4706780	84.7	4706780		4706780	84.7						4706780
Pankaj Kaya	AALPA8313M	1	2155040	0	0	2155040	38.78	2155040	0	2155040	38.78	0	0	0	0	0	2155040
Kishore Kumar Kaya	AAXPK3655N	1	2551740	0	0	2551740	45.92	2551740	0	2551740	45.92	0	0	0	0	0	2551740
(b) Central Government/ State Government(s)																	
(c) Financial Institutions/ Banks																	
(d) Any Other (specify)																	
Sub-Total (A)(1)		2	4706780	0	0	4706780	84.7	4706780	0	4706780	84.7	0	0	0	0	0	4706780
(2) Foreign																	
(a) Individuals (Non- Resident Individuals/ Foreign)																	
(b) Government Name (xyz...)																	
(c) Institutions Name (xyz...)																	
(d) Foreign Portfolio Investor																	
(f) Any Other (specify)																	
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+ (A)		2	4706780	0	0	4706780	84.7	4706780	0	4706780	84.7	0	0	0	0	0	4706780

Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

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a	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding as a % (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total							
(1)	Institutions																	
(a)	Mutual Funds																	
(b)	Venture Capital Funds																	
(c)	Alternate Investment Funds																	
(d)	Foreign Venture Capital Investors																	
(e)	Foreign Portfolio Investors																	
(f)	Financial Institutions/ Banks																	
(g)	Insurance Companies																	
(h)	Provident Funds/ Pension Funds																	
(i)	Any Other (specify)																	
	Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(2)	Central Government/ State Government(s) / President of India																NA	
	Sub-Total (B)(2)																NA	
(3)	Non-institutions																NA	
	i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.		14	82410	0	0	82410	1.48	82410	0	82410	1.48	0	0	0	0	NA	0

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	iii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
																			NA	
																			NA	
(b)	NBFCs Registered with RBI																		NA	
	Name (xyz...)																		NA	
(c)	Employee Trusts																		NA	
	Name (xyz...)																		NA	
(d)	Overseas Depositories (holding DRs) (balancing figure)																		NA	
	Name (xyz...)																		NA	
(e)	Any Other (specify)																		NA	
	Bodies Corporate		9	768110	0	0	768110	13.82	768110	0	768110	13.82	0	0	0	0	0	0	NA	0
	Sub-Total (B)(3)		9	768110	0	0	768110	13.82	768110	0	768110	13.82	0	0	0	0	0	0	NA	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		23	850520	0	0	850520	15.3	850520	0	850520	15.3	0	0	0	0	0	0	NA	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

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	Category & Name of the Shareholders (I)	PAN (II)	No. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV+V+ VI)	Shareholding calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	of Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held	No.. (Not applicable )	As a % of total shares held (Not applicable)	
									Class X	Class Y								
(1)	Custodian/DR Holder																NA	
(a)	Name of DR Holder(if available)																NA	
(i)	abc....																NA	
(ii)	efg...																NA	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																NA	
(a)	Name (abc...																NA	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	NA	

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the Information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

For

  
 Prabha Kaya  
 (Authorised Signatory)